
Unit 4: Functional Areas & Positions

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Unit 4. Functional Areas & Positions

Unit Objectives

At the end of this unit, students will be able to:

- Describe the functions of organizational positions within the Incident Command System (ICS).
 - Identify the ICS tools needed to manage an incident.
 - Demonstrate the use of an ICS Form 201, Incident Briefing.
-

Scope

- Unit Introduction
 - Unit Objectives
 - Command Staff
 - Expanding Incidents
 - General Staff
 - ICS Tools
 - Activity: Using ICS Form 201, Incident Briefing
 - Summary
-

Methodology

This unit uses a combination of instructor presentations and discussion questions. The content begins with a review of the ICS organizational function and positions including the Command and General Staffs. The next topic covers the tools used in managing incidents including ICS forms, positions descriptions, Emergency Operations Plans, agency policies and procedures, and maps.

In the final activity, the students apply what they have learned in this unit by completing an Incident Briefing Form (ICS Form 201) for a scenario incident.

Unit 4. Functional Areas & Positions

Time Plan

A suggested time plan for this unit is shown below. More or less time may be required, based on the experience level of the group.

Topic	Time
Unit Introduction Unit Objectives	4 minutes
Command Staff	20 minutes
Expanding Incidents	2 minutes
General Staff	20 minutes
ICS Tools	10 minutes
Activity: Using ICS Form 201, Incident Briefing	60 minutes
Summary	4 minutes
Total	2 hours

Materials

- PowerPoint visuals 4.1 – 4.37
- Student Manual

UNIT INTRODUCTION

Visual 4.1



Instructor Notes: Present the following key points.

The Functional Areas and Positions unit introduces you to ICS organizational components, the Command Staff, the General Staff, and ICS tools.

This unit presents information that is similar to materials covered in the ICS-100 course. Note that the discussion of the positions will be more detailed than the coverage in the ICS-100 course.

UNIT OBJECTIVES

Visual 4.2

Unit Objectives

- Describe the functions of organizational positions within the Incident Command System (ICS).
- Identify the ICS tools needed to manage an incident.
- Demonstrate the use of an ICS Form 201, Incident Briefing.

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Visual 4.2
Functional Areas & Positions

Instructor Notes: Present the following key points.

The objectives of this unit are as follows:

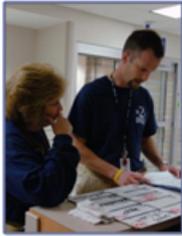
- Describe the functions of organizational positions within the Incident Command System (ICS).
- Identify the ICS tools needed to manage an incident.
- Demonstrate the use of an ICS Form 201, Incident Briefing.

This unit provides more indepth information on ICS.

COMMAND STAFF

Visual 4.3

Incident Commander (1 of 2)



Upon arriving at an incident the higher ranking person will either assume command, maintain command as is, or reassign command to a third party.

In some situations or agencies, a **lower ranking but more qualified person** may be designated as the Incident Commander.



Instructor Notes: Present the following key points.

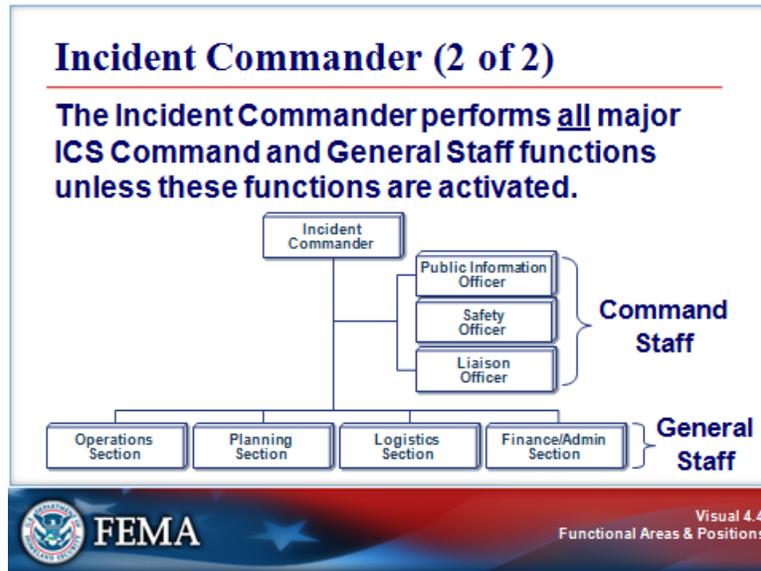
The Incident Commander:

- Has overall incident management responsibility delegated by the appropriate jurisdictional authority.
- Develops the incident objectives to guide the incident planning process.
- Approves the Incident Action Plan and all requests pertaining to the ordering and releasing of incident resources.

In some situations or agencies, a lower ranking but more qualified person may be designated as the Incident Commander.

COMMAND STAFF

Visual 4.4



Instructor Notes: Present the following key points.

The Incident Commander performs all major ICS Command and General Staff responsibilities unless these functions are activated.

COMMAND STAFF

Visual 4.5

Agency Representative

An Agency Representative:

- Is an individual assigned to an incident from an assisting or cooperating agency.
- Is a delegated authority to make decisions on matters affecting that agency's participation at the incident.



 **FEMA** Visual 4.13
Functional Areas & Positions

Instructor Notes: Present the following key points.

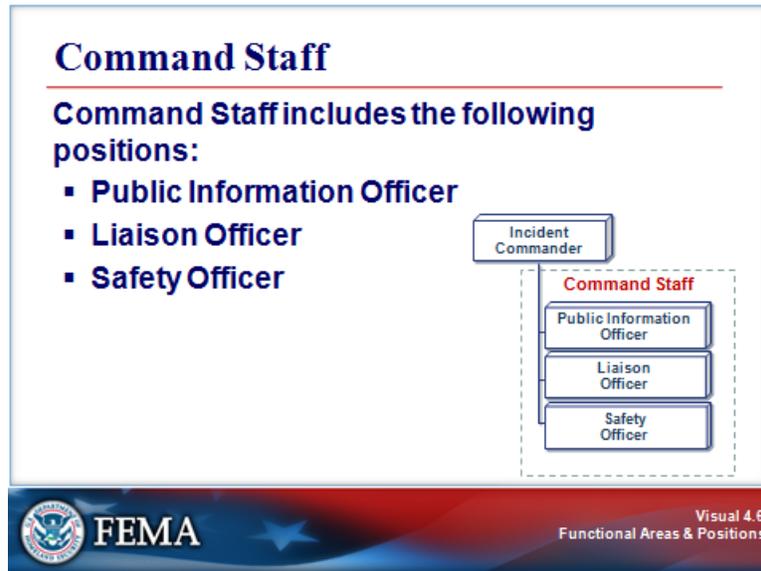
The Incident Commander may have one or more Deputies. Deputies may be assigned at the Incident Command, Section, or Branch levels. The only ICS requirement regarding the use of a Deputy is that the Deputy must be fully qualified and equally capable to assume the position.

The three primary reasons to designate a Deputy Incident Commander are to:

- Perform specific tasks as requested by the Incident Commander.
- Perform the incident command function in a relief capacity (e.g., to take over for the next operational period). In this case, the Deputy will assume the primary role.
- Represent an assisting agency that may share jurisdiction or have jurisdiction in the future.

COMMAND STAFF

Visual 4.6



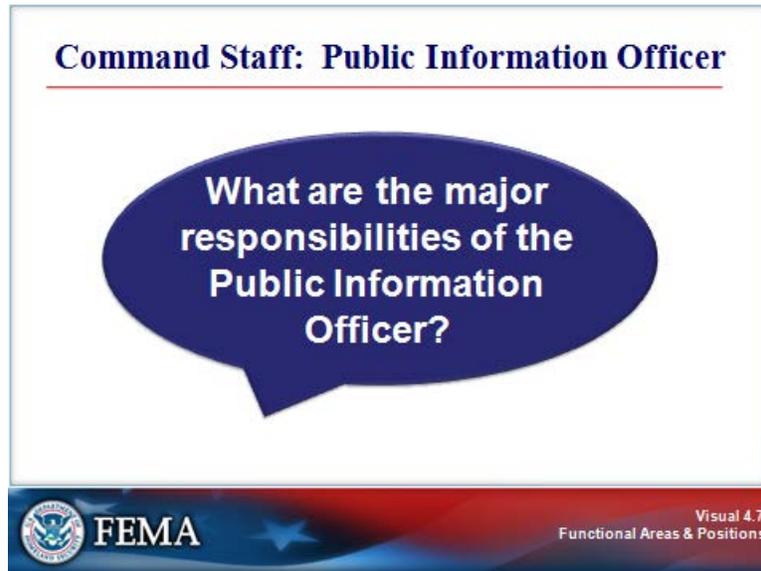
Instructor Notes: Present the following key points.

The Command Staff is only activated in response to the needs of the incident. The Command Staff includes the following positions:

- Public Information Officer
- Liaison Officer
- Safety Officer

COMMAND STAFF

Visual 4.7



Instructor Notes: Present the following key points.

Ask the participants:

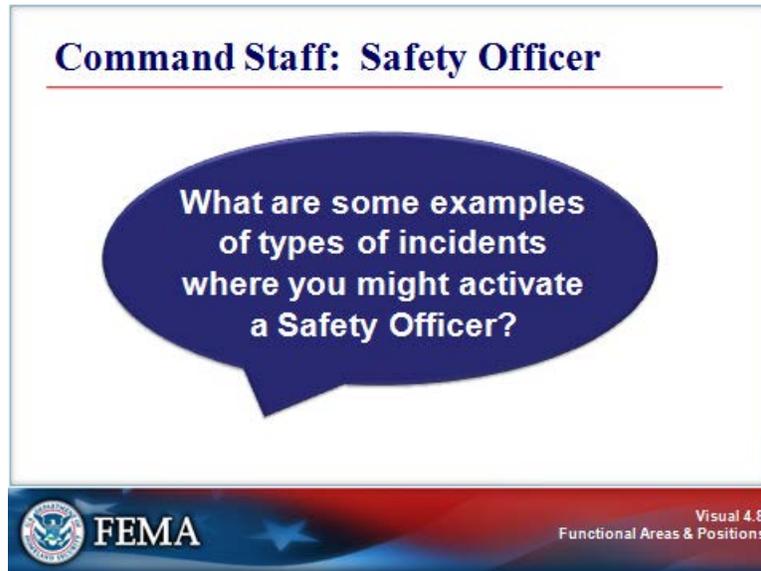
What are the major responsibilities of the Public Information Officer?

Acknowledge the participants' responses. If not mentioned by the participants, add the following:

- The Public Information Officer is responsible for interfacing with the public and media and/or with other agencies with incident-related information requirements.
- The Public Information Officer develops accurate and complete briefings and releases on the incident's cause, size, and current situation; resources committed; and other matters of general interest for both internal and external consumption.
- The Public Information Officer may also perform a key public information-monitoring role.
- Whether the command structure is single or unified, only one incident Public Information Officer should be designated. Assistants may be assigned from other agencies or departments involved. The Incident Commander must approve the release of all incident-related information.

COMMAND STAFF

Visual 4.8



Instructor Notes: Present the following key points.

Ask the participants:

What are some examples of types of incidents where you might activate a Safety Officer?

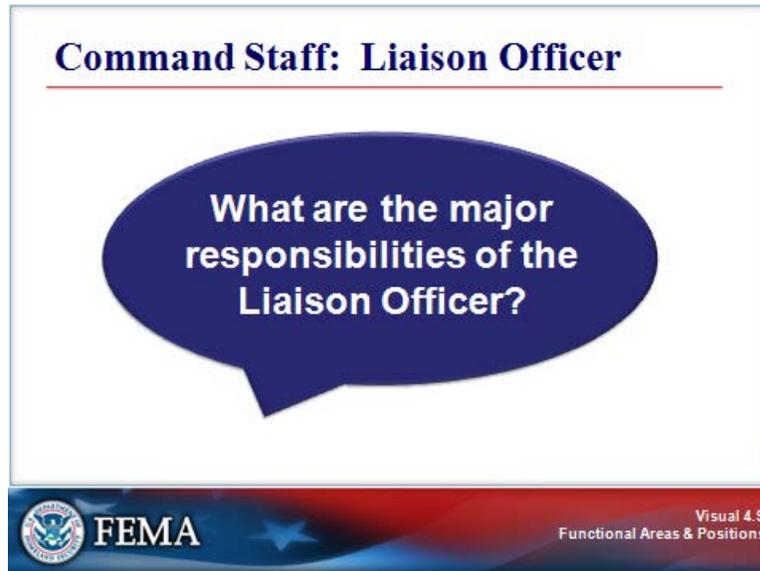
Acknowledge the participants' responses. Add any examples from your past experience. Be sure to mention that designation of a Safety Officer is required for all HazMat incidents.

Summarize the following Safety Officer responsibilities:

- The Safety Officer monitors incident operations and advises the Incident Commander on all matters relating to operational safety, including the health and safety of emergency responder personnel.
- Although the ultimate responsibility for safety rests with the Incident Commander and supervisors, the Safety Officer is responsible for establishing systems and procedures to ensure emergency responder safety, as well as the general safety of incident operations.
- The Safety Officer has emergency authority to stop and/or prevent unsafe acts during incident operations.
- The Safety Officer, Operations Section Chief, and Planning Section Chief must coordinate closely regarding operational safety and emergency responder health and safety issues.
- The Safety Officer must also ensure the coordination of safety management functions and issues across jurisdictions, across functional agencies, and with private-sector and nongovernmental organizations.

COMMAND STAFF

Visual 4.9



Instructor Notes: Present the following key points.

Ask the participants:

What are the major responsibilities of the Liaison Officer?

Acknowledge the participants' responses. If not mentioned by the participants, add the following:

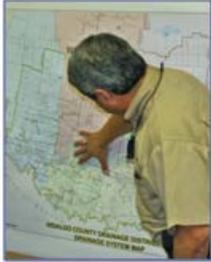
- The Liaison Officer is the point of contact for representatives of other governmental agencies, nongovernmental organizations, and/or private entities.
- Representatives from assisting or cooperating agencies and organizations coordinate through the Liaison Officer. Agency and/or organizational representatives assigned to an incident must have the authority to speak for their parent agencies and/or organizations on all matters, following appropriate consultations with their agency leadership.
- Assistants and personnel from other agencies or organizations (public or private) involved in incident management activities may be assigned to the Liaison Officer to facilitate coordination.

COMMAND STAFF

Visual 4.10

Assistants

- Are subordinates of principal Command Staff positions.
- Must have technical capability, qualifications, and responsibility subordinate to the primary position.
- May also be assigned to Unit Leaders.



 **FEMA** Visual 4.10
Functional Areas & Positions

Instructor Notes: Present the following key points.

In a large or complex incident, Command Staff members may need one or more Assistants to help manage their workloads. Each Command Staff member is responsible for organizing his or her Assistants for maximum efficiency. Assistants are subordinates of principal Command Staff positions.

As the title indicates, Assistants should have a level of technical capability, qualifications, and responsibility subordinate to the primary positions.

Assistants may also be assigned to Unit Leaders (e.g., at camps to supervise unit activities).

COMMAND STAFF

Visual 4.11

Assisting Agency



An assisting agency provides personnel, services, or other resources to the agency with direct responsibility for incident management.

 **FEMA** Visual 4.11
Functional Areas & Positions

Instructor Notes: Present the following key points.

- An agency or jurisdiction will often send resources to assist at an incident. In ICS these are called assisting agencies.
- An assisting agency is defined as an agency or organization providing personnel, services, or other resources to the agency with direct responsibility for incident management.

COMMAND STAFF

Visual 4.12

Cooperating Agency

- **A cooperating agency supplies assistance other than direct operational or support functions or resources to the incident management effort.**

An assisting agency has direct responsibility for incident response, whereas a cooperating agency simply offers assistance.

FEMA

Visual 4.12
Functional Areas & Positions

Instructor Notes: Present the following key points.

- A cooperating agency is an agency supplying assistance other than direct operational or support functions or resources to the incident management effort.
- Don't get confused between an assisting agency and a cooperating agency!

An assisting agency has direct responsibility for incident response, whereas a cooperating agency is simply offering assistance.

COMMAND STAFF

Visual 4.13

Agency Representative

An Agency Representative:

- Is an individual assigned to an incident from an assisting or cooperating agency.
- Is a delegated authority to make decisions on matters affecting that agency's participation at the incident.



 **FEMA**

Visual 4.13
Functional Areas & Positions

Instructor Notes: Present the following key points.

- An Agency Representative is an individual assigned to an incident from an assisting or cooperating agency.
- The Agency Representative is delegated authority to make decisions on matters affecting that agency's participation at the incident.

EXPANDING INCIDENTS

Visual 4.14

Expanding Incidents

An Incident Commander can activate and delegate authority to:

- **Section Chiefs.**
- **Branch Directors.**
- **Division or Group Supervisors.**
- **Team or Unit Leaders.**



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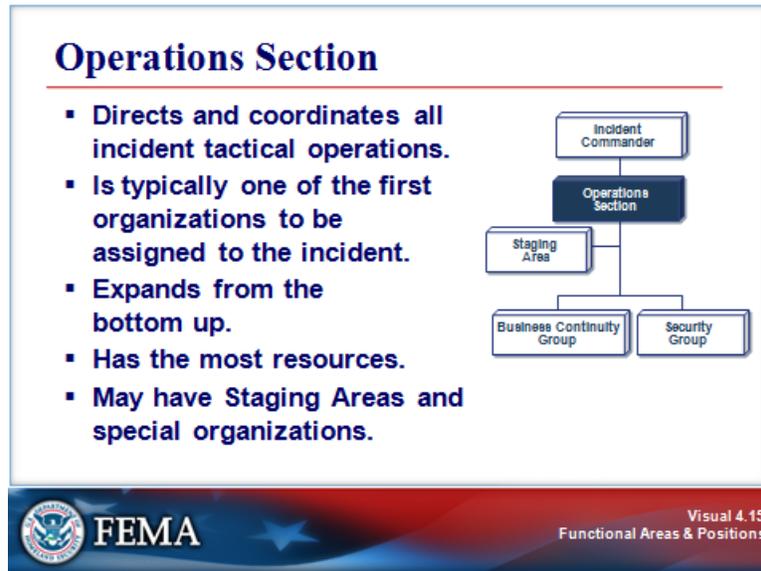
Visual 4.14
Functional Areas & Positions

Instructor Notes: Present the following key points.

- An incident may start small and then expand. As the incident grows in scope and the number of resources needed increases, there may be a need to activate Teams, Divisions, Groups, Branches, or Sections to maintain an appropriate span of control.
- The ability to delegate the supervision of resources not only frees up the Incident Commander to perform critical decisionmaking and evaluation duties, but also clearly defines the lines of communication to everyone involved in the incident.
- Next, we'll review the major organizational elements that may be activated during an expanding incident.

GENERAL STAFF

Visual 4.15



Instructor Notes: Present the following key points.

The Operations Section is responsible for all activities focused on reducing the immediate hazard, saving lives and property, establishing situational control, and restoring normal operations.

The Operations Section:

- Directs and coordinates all incident tactical operations.
- Is typically one of the first organizations to be assigned to the incident.
- Expands from the bottom up.
- Has the most incident resources.
- May have Staging Areas and special organizations.

GENERAL STAFF

Visual 4.16

Operations Section Chief

- **Is responsible to the Incident Commander for the direct management of all incident-related operational activities.**
- **Establishes tactical objectives for each operational period.**
- **Has direct involvement in the preparation of the Incident Action Plan.**
- **May have one or more Deputies assigned.**

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Instructor Notes: Present the following key points.

The Operations Section Chief:

- Is responsible to the Incident Commander for the direct management of all incident-related operational activities.
- Establishes tactical objectives for each operational period.
- Has direct involvement in the preparation of the Incident Action Plan.

The Operations Section Chief may have one or more Deputies assigned. The assignment of Deputies from other agencies may be advantageous in the case of multijurisdictional incidents.

GENERAL STAFF

Visual 4.17



Instructor Notes: Present the following key points.

Use this visual to transition into a discussion of Staging Areas.

Ask the participants what they consider when selecting the location for a Staging Area.

GENERAL STAFF

Visual 4.18

Operations Section: Staging Areas

Staging Areas:

- Are set up at the incident.
- Consist of resources that are assigned and ready for deployment.

Out-of-service resources are NOT located at the Staging Area.

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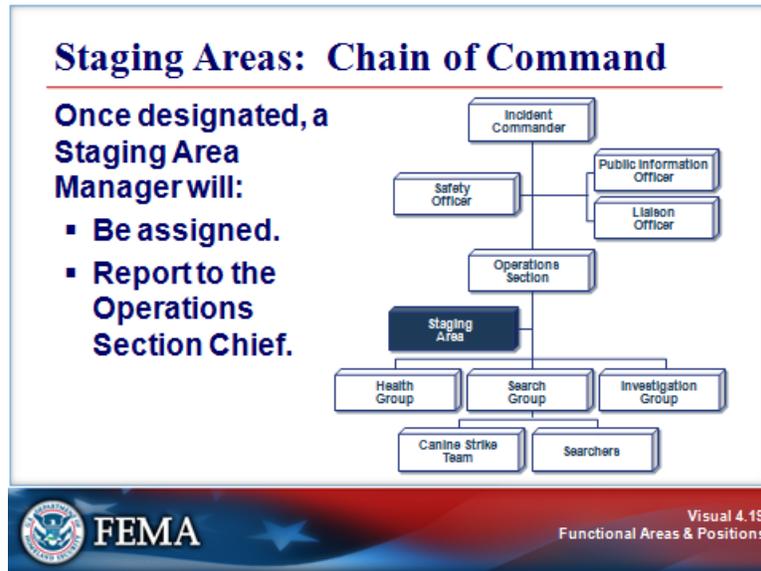
Visual 4.18
Functional Areas & Positions

Instructor Notes: Present the following key points.

- Staging Areas are set up at the incident where resources can wait for a tactical assignment.
- All resources in the Staging Area are assigned and ready for deployment. Out-of-service resources are NOT located at the Staging Area.
- After a Staging Area has been designated and named, a Staging Area Manager will be assigned. The Staging Area Manager will report to the Operations Section Chief or to the Incident Commander if the Operations Section Chief has not been designated.

GENERAL STAFF

Visual 4.19

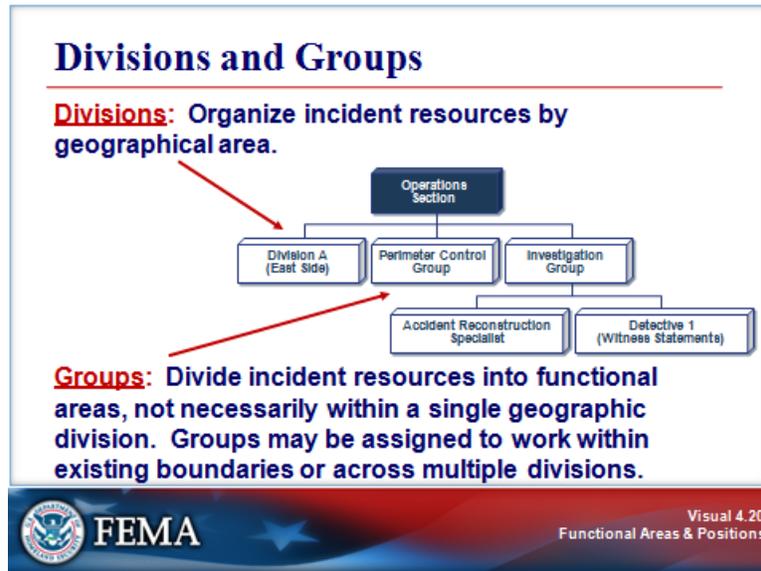


Instructor Notes: Present the following key points.

After a Staging Area has been designated and named, a Staging Area Manager will be assigned. The Staging Area Manager will report to the Operations Section Chief, or to the Incident Commander if an Operations Section Chief has not been designated.

GENERAL STAFF

Visual 4.20

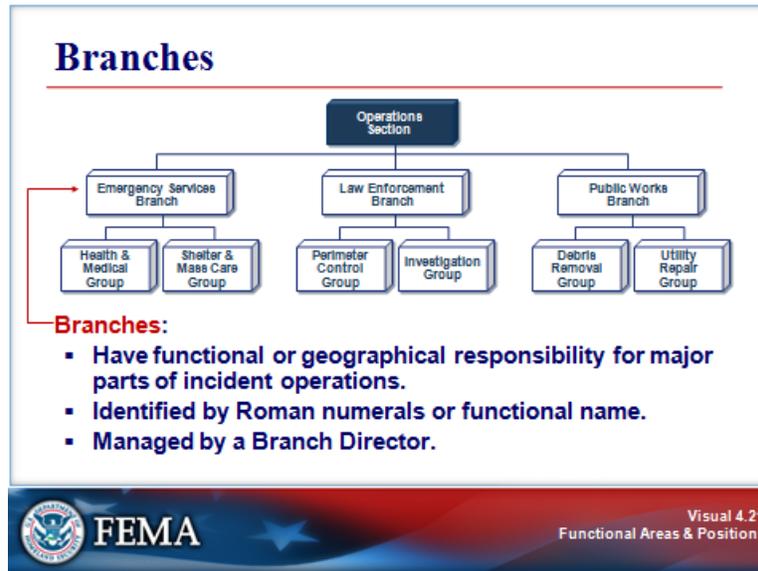


Instructor Notes: Present the following key points.

- Divisions are established to divide an incident into physical or geographical areas of operation.
- Groups are established to divide the incident into functional areas of operation.
- For example, a Damage Assessment Task Force, reporting to the Infrastructure Group Leader, could work across divisions established to manage two distinct areas of the building that had been damaged — the west side of the building (West Division) and the north side (North Division).

GENERAL STAFF

Visual 4.21



Instructor Notes: Present the following key points.

- Branches may be used to serve several purposes, and may be functional or geographic in nature.
- In general, Branches are established when the number of Divisions or Groups exceeds the recommended span of control of one supervisor to three to seven subordinates for the Operations Section Chief.
- Branches are identified by Roman numerals or functional name.
- Branches are managed by a Branch Director. Branch Directors may have deputy positions as required. In multiagency incidents, the use of Deputy Branch Directors from assisting agencies can be of great benefit to ensure and enhance interagency coordination.

GENERAL STAFF

Visual 4.22

Air Operations Branch

The Air Operations Branch:

- **Is activated to coordinate the use of aviation resources.**
- **Is managed by the Air Operations Branch Director, who reports to the Operations Section Chief.**
- **May include the following functional groups:**
 - **Air Support Group**
 - **Air Tactical Group**

The slide features a blue and red footer with the FEMA logo on the left, the text 'FEMA' in the center, and 'Visual 4.22 Functional Areas & Positions' on the right.

Instructor Notes: Present the following key points.

- Some incidents may require the use of aviation resources to provide tactical or logistical support. On smaller incidents, aviation resources will be limited in number and will report directly to the Incident Commander or to the Operations Section Chief.
- On larger incidents, it may be desirable to activate a separate Air Operations organization to coordinate the use of aviation resources. The Air Operations organization will then be established at the Branch level, reporting directly to the Operations Section Chief.
- The Air Operations Branch Director can establish two functional groups. The Air Tactical Group coordinates all airborne activity. The Air Support Group provides all incident ground-based support to aviation resources.

GENERAL STAFF

Visual 4.23

Planning Section

- Maintains resource and situation status.
- Prepares an Incident Action Plan and Demobilization Plan.
- Develops strategies.
- Provides documentation services.
- Provides a location for technical specialists.



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Visual 4.23
Functional Areas & Positions

Instructor Notes: Present the following key points.

The Planning Section will have responsibility for:

- Maintaining resource status.
- Maintaining and displaying situation status.
- Preparing the Incident Action Plan (IAP).
- Developing alternative strategies
- Providing documentation services.
- Preparing the Demobilization Plan.
- Providing a primary location for technical specialists assigned to an incident.

The Planning Section is typically responsible for gathering and disseminating information and intelligence critical to the incident, unless the Incident Commander places this function elsewhere.

One of the most important functions of the Planning Section is to look beyond the current and next operational period and anticipate potential problems or events.

GENERAL STAFF

Visual 4.24

Planning Section Key Personnel

- **The Planning Section will have a Planning Section Chief.**
- **The Planning Section Chief may have a Deputy.**
- **Technical Specialists (advisors with special skills) will initially report to and work within the Planning Section.**

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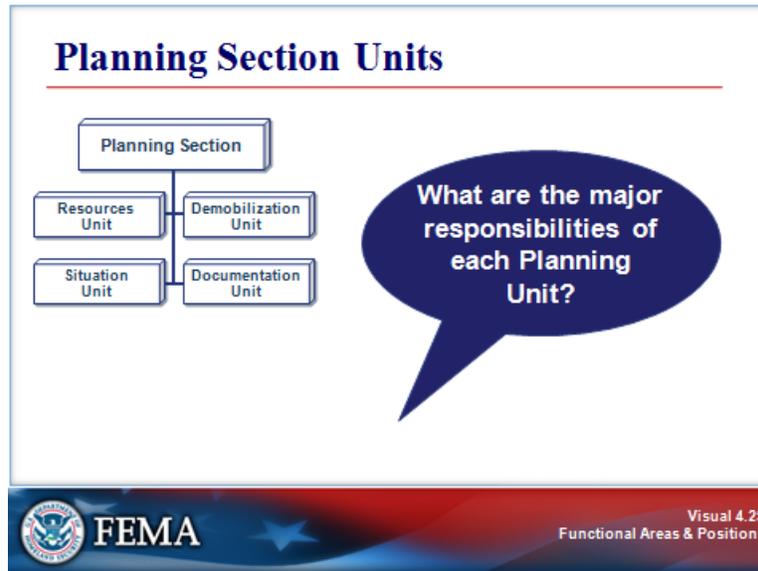
Instructor Notes: Present the following key points.

The Planning Section will have a Planning Section Chief. The Planning Section Chief may have a Deputy. Technical Specialists:

- Are advisors with special skills required at the incident.
- Will initially report to the Planning Section, work within that Section, or be reassigned to another part of the organization.
- Can be in any discipline required (e.g., epidemiology, infection control, chemical-biological-nuclear agents, etc.).

GENERAL STAFF

Visual 4.25



Instructor Notes: Present the following key points.

Ask the participants:

What are the major responsibilities of each Planning Unit?

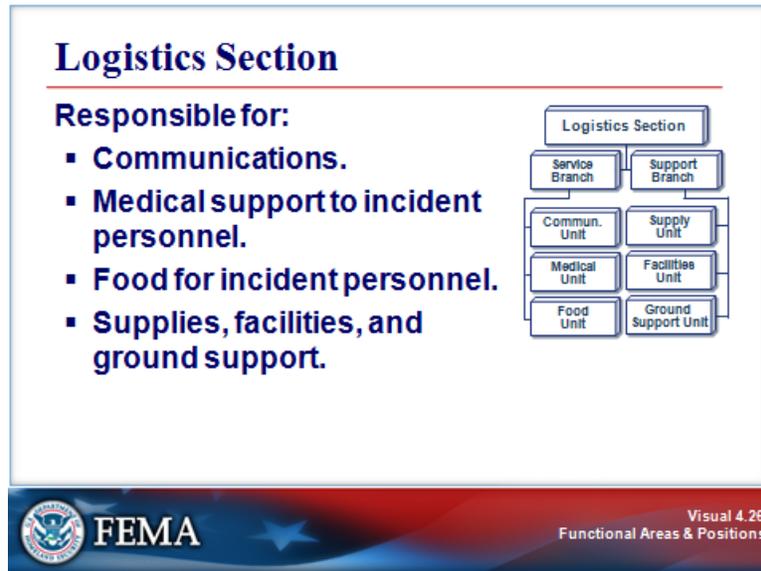
Acknowledge the participants' responses. If not mentioned by the group, add the following:

The major responsibilities of Planning Units are:

- **Resources Unit.** Responsible for all check-in activity and for maintaining the status on all personnel and equipment resources assigned to the incident.
- **Situation Unit.** Collects and processes information on the current situation, prepares situation displays and situation summaries, and develops maps and projections.
- **Demobilization Unit.** On large, complex incidents, assists in ensuring that an orderly, safe, and cost-effective movement of personnel is made when they are no longer required at the incident.
- **Documentation Unit.** Prepares the Incident Action Plan, maintains all incident-related documentation, and provides duplication services.

GENERAL STAFF

Visual 4.26



Instructor Notes: Present the following key points.

Early recognition of the need for a Logistics Section can reduce time and money spent on an incident. The Logistics Section is responsible for all support requirements, including:

- Communications.
- Medical support to incident personnel.
- Food for incident personnel.
- Supplies, facilities, and ground support.

It is important to remember that Logistics Unit functions, except for the Supply Unit, are geared to supporting personnel and resources directly assigned to the incident.

GENERAL STAFF

Visual 4.27

Logistics Section: Service Branch



The Service Branch may be made up of a:

- Communications Unit.
- Medical Unit.
- Food Unit.

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Visual 4.27
Functional Areas & Positions

Instructor Notes: Present the following key points.

The Service Branch may be made up of the following units:

- The **Communications Unit** is responsible for developing plans for the effective use of incident communications equipment and facilities, installation and testing of communications equipment, supervision of the Incident Communications Center, distribution of communications equipment to incident personnel, and maintenance and repair of communications equipment.
- The **Medical Unit** is responsible for the development of the Medical Plan, obtaining medical aid and transportation for injured and ill incident personnel, and preparation of reports and records.
- The **Food Unit** is responsible for supplying the food needs for the entire incident, including all remote locations (e.g., Camps, Staging Areas), as well as providing food for personnel unable to leave tactical field assignments.

GENERAL STAFF

Visual 4.28

Logistics Section: Support Branch

The Support Branch includes the:

- Supply Unit.
- Facilities Unit.
- Ground Support Unit.



Visual 4.28
Functional Areas & Positions

Instructor Notes: Present the following key points.

The Support Branch within the Logistics Section may include the following units:

- The **Supply Unit** is responsible for ordering personnel, equipment, and supplies; receiving and storing all supplies for the incident; maintaining an inventory of supplies; and servicing nonexpendable supplies and equipment.
- The **Facilities Unit** is responsible for the layout and support of incident facilities (e.g., Base, Camp(s), and Incident Command Post (ICP)). The Facilities Unit Leader provides sleeping and sanitation facilities for incident personnel and manages Base and Camp operations. Each facility (Base, Camp) is assigned a manager who reports to the Facilities Unit Leader and is responsible for managing the operation of the facility. The basic functions or activities of the Base and Camp Managers are to provide security service and general maintenance.
- The **Ground Support Unit** is responsible for supporting out-of-service resources; transporting personnel, supplies, food, and equipment; fueling, service, maintenance, and repair of vehicles and other ground support equipment; and implementing the Traffic Plan for the incident.

GENERAL STAFF

Visual 4.29

Finance/Administration Section

- Is established when incident management activities require finance and other administrative support services.
- Handles claims related to property damage, injuries, or fatalities at the incident.



The photograph shows a close-up of a calculator, a pen, and a document with financial data. The document has several lines of numbers, including 2284.00, 2144.50, 17800.00, 1377.00, 13933.00, 24.52, 1375.00, 615.00, -50.03, -144.00, 13065.07, 13015.04, and 12871.04.

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Visual 4.29
Functional Areas & Positions

Instructor Notes: Present the following key points.

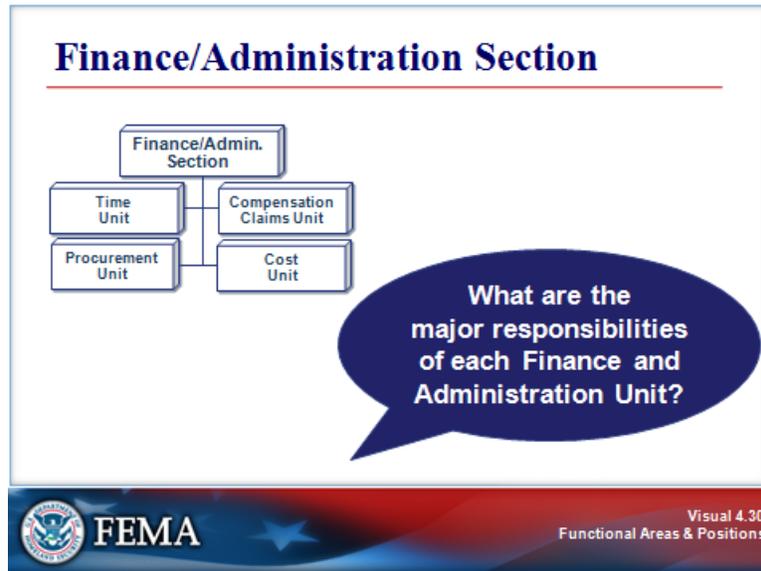
The Finance/Administration Section:

- Is established when incident management activities require finance and other administrative support services.
- Handles claims related to property damage, injuries, or fatalities at the incident.

Not all incidents will require a separate Finance/Administration Section. If only one specific function is needed (e.g., cost analysis), a Technical Specialist assigned to the Planning Section could provide these services.

GENERAL STAFF

Visual 4.30



Instructor Notes: Present the following key points.

Ask the participants:

What are the major responsibilities of each Finance and Administration Unit?

Acknowledge the participants' responses. If not mentioned by the group, add the following:

Finance/Administration Units include the following:

- The **Time Unit** is responsible for equipment and personnel time recording.
- The **Procurement Unit** is responsible for administering all financial matters pertaining to vendor contracts, leases, and fiscal agreements.
- The **Compensation/Claims Unit** is responsible for financial concerns resulting from property damage, injuries, or fatalities at the incident.
- The **Cost Unit** is responsible for tracking costs, analyzing cost data, making cost estimates, and recommending cost-saving measures.

ICS TOOLS

Visual 4.31

ICS Tools

- ICS Forms
- Position description and responsibilities document
- Emergency Operations Plan
- Agency policies and procedures manual
- Maps



The image shows two individuals in ICS attire (hard hats, safety vests) standing in front of a whiteboard. One person is pointing at the board while the other looks on. The whiteboard appears to have some diagrams or text on it, though it's not clearly legible.

 **FEMA**

Visual 4.31
Functional Areas & Positions

Instructor Notes: Present the following key points.

Some important tools you should have available at the incident include:

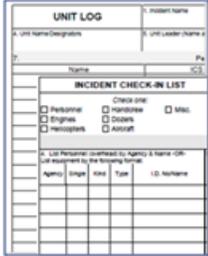
- ICS forms.
- Position description and responsibilities.
- Emergency Operations Plan.
- Agency policies and procedures manual.
- Maps.

ICS TOOLS

Visual 4.32

ICS Forms

- **Purpose** – What function does the form perform?
- **Preparation** – Who is responsible for preparing the form?
- **Distribution** – Who needs to receive this information?



The image shows a thumbnail of an ICS form. The top section is titled 'UNIT LOG' and includes fields for 'Incident Name', 'Unit Name (Designation)', 'Unit Leader (Name & Title)', 'Phone', and 'ICD'. Below this is the 'INCIDENT CHECK-IN LIST' section, which includes a 'Check one' area with checkboxes for 'Personnel', 'Equipment', 'Perceptions', 'Resources', 'Doubles', and 'Account'. At the bottom, there is a table with columns for 'Agency', 'Origin', 'Date', 'Type', and 'ICD Number'.

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Visual 4.32
Functional Areas & Positions

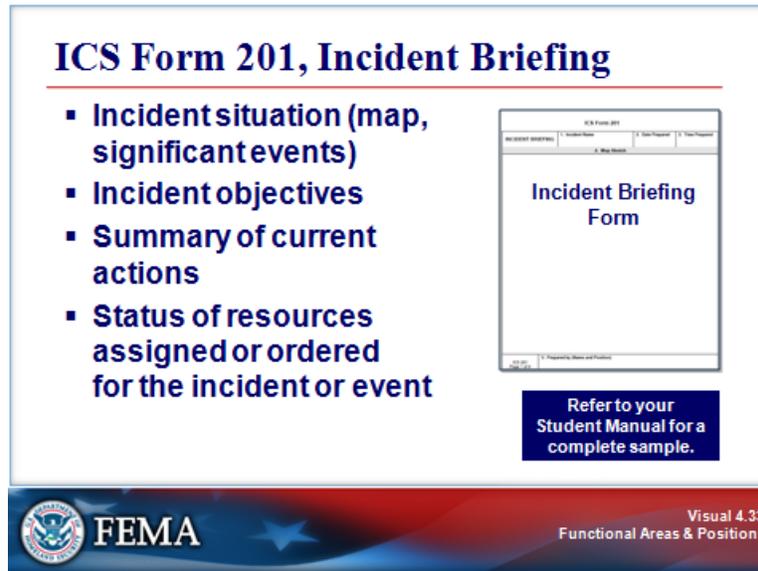
Instructor Notes: Present the following key points.

When receiving ICS forms, some questions you should ask yourself about each form are:

- **Purpose** – What function does the form perform?
- **Preparation** – Who is responsible for preparing the form?
- **Distribution** – Who needs to receive this information?

ICS TOOLS

Visual 4.33



The slide features a title 'ICS Form 201, Incident Briefing' in blue text. To the left of a central image is a bulleted list of four key points. The central image shows a sample of the ICS Form 201, which is a white document with a blue header and footer. Below the sample is a blue callout box with white text. At the bottom of the slide is a red and blue banner with the FEMA logo on the left and the text 'Visual 4.33 Functional Areas & Positions' on the right.

ICS Form 201, Incident Briefing

- Incident situation (map, significant events)
- Incident objectives
- Summary of current actions
- Status of resources assigned or ordered for the incident or event

Refer to your Student Manual for a complete sample.

FEMA

Visual 4.33
Functional Areas & Positions

Instructor Notes: Present the following key points.

The Incident Briefing Form (ICS Form 201) is an eight-part form that provides an Incident Command/Unified Command with status information that can be used for briefing incoming resources, an incoming Incident Commander or team, or an immediate supervisor. The basic information includes:

- Incident situation (map, significant events).
- Incident objectives.
- Summary of current actions.
- Status of resources assigned or ordered for the incident or event.

Occasionally, the ICS Form 201 serves as the initial Incident Action Plan (IAP) until a Planning Section has been established and generates, at the direction of the Incident Commander, an IAP.

The ICS Form 201 is also suitable for briefing individuals newly assigned to the Command and General Staffs.

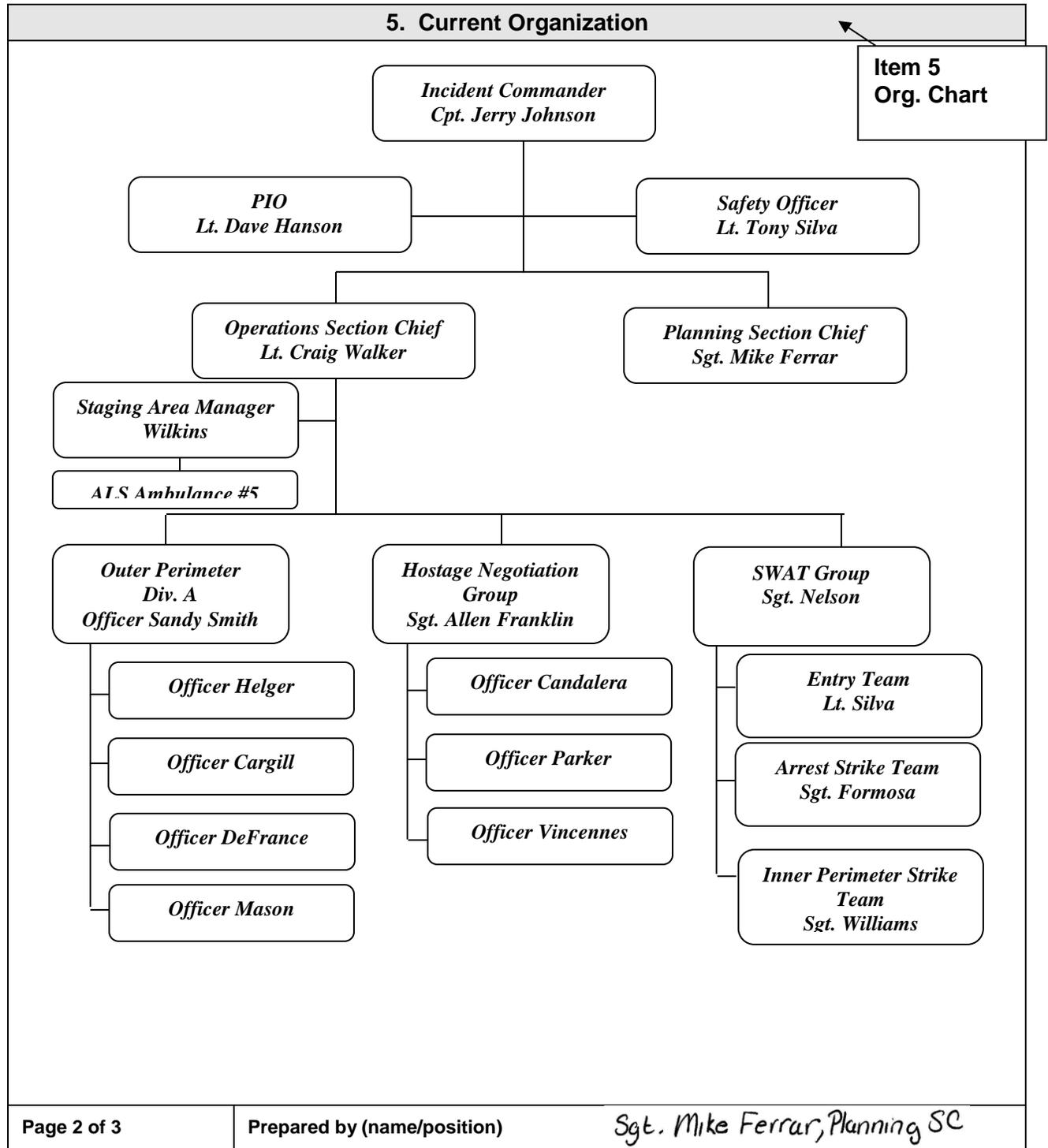
Sample ICS Form 201

INCIDENT BRIEFING	1. Incident Name GPD HOSTAGE	2. Date 5/15/06	3. Time 1500
4. Map Sketch			

Items 1 through 3 Identification Information

Item 4 Map Sketch

Sample ICS Form 201



**Item 6
Resources On-Scene
and Ordered**

6. Resources Summary

Resources Ordered	Resource Identification	ETA	On Scene	Location/Assignment
Incident Commander	Cpt. Johnson GPD		X	ICP-Fire Station 10895 W Emerald
Public Info Officer	Lt. Dave Hanson		X	ICP
Safety Officer	Lt. Silva GPD		X	ICP
Operations SC	Lt. Craig Walker GPD		X	ICP
SWAT	GPD SWAT – Sgt. Nelson		X	SWAT Staging - Fire Station 10895 W Emerald
Hostage Negotiation Group	Negotiation Group – Franklin		X	ICP
ALS Ambulance	ALS #5		X	Staging Fire Station 10895 W Emerald
Staging Area Manager	Lt. Ralph Wilkins GPD		X	Staging
Planing SC	Sgt. Mike Ferrar GPD		X	ICP
Division Supervisor	Officer Smith GPD		X	Division A Supervisor OP1
Officer	Officer Helger GPD		X	Division A OP2
Officer	Officer DeFrance GPD		X	Division A OP3
Officer	Officer Cargill GPD		X	Division A OP4
Officer	Officer Mason GPD		X	Division A OP5

7. Summary of Current Actions

Status: Houses inside the outer perimeter have been evacuated, and neighbors interviewed. Outer perimeter secured. Inner perimeter sniper/observers stationed (residents have signed agreements allowing SWAT to use houses as observation platforms). SWAT Group developing tactical plan. Ambulance and paramedics in staging. Dispatch updated. Lifeflight placed on standby. Negotiation Group is interviewing a friend of the girlfriend (hostage) and awaiting arrival of subject’s parents. Contact has not been established with the subject. Power and gas companies have been notified; representatives are en route.

Initial Objectives:

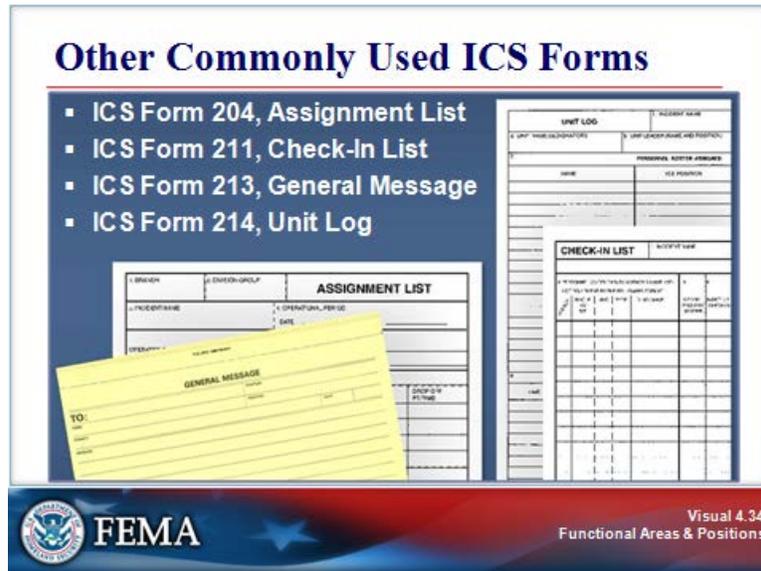
- 1 Evacuate houses within the outer perimeter by 1500.
- 2 Secure outer perimeter from foot and vehicular traffic by 1500.
- 3 Secure inner perimeter so that subject is not able to escape armed by 1500.
- 4 Open negotiation with subject for release of hostage and peaceful surrender by 1600.
- 5 Prepare tactical entry contingency plan by 1630.

Subject Profile/Incident History: Ken Williams, 1015 Mesquite Street, (wm, 6’2”, 190 lbs. brown/brown DOB 4/27/87) is a high school dropout whose girlfriend, Andrea Hillerman, recently broke up with him. Marsha Anderson (a friend of the girl) has told hostage negotiators that the reason for the breakup was that he was physically abusive to her. Andrea had gone to his parents’ house, where he lives with his mother and father, to retrieve some of her belongings. No one else was home. Once inside, he took her hostage. She managed to call 911 on her cell phone and reported that he had “lots of guns and bomb stuff” and was threatening to kill himself and her. The call was broken off, and police have been unable to establish contact with her. Williams has no prior police record.

**Item 7
Status, Incident
Objectives, and Current
Actions/History**

ICS TOOLS

Visual 4.34



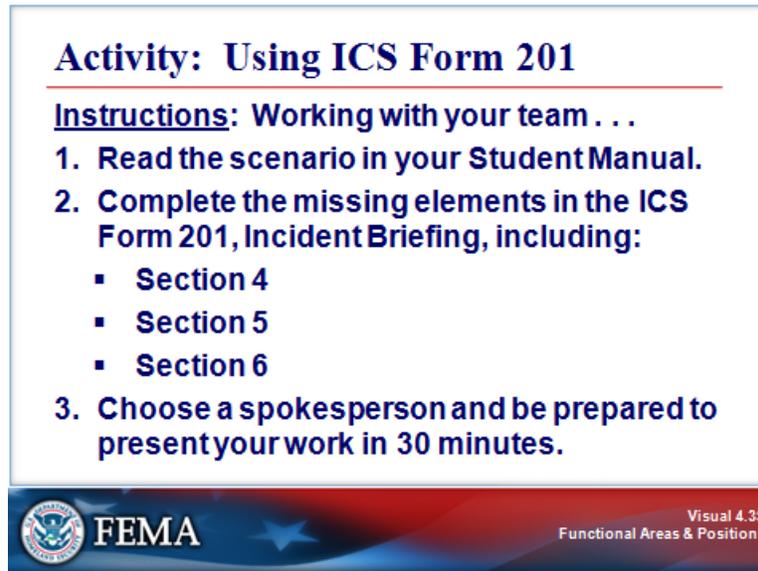
Instructor Notes: Present the following key points.

The following commonly used ICS forms can be found on the NIMS Resource Center at <http://www.fema.gov/nims>:

- ICS Form 201, Incident Briefing
- ICS Form 202, Incident Objectives
- ICS Form 203, Organization Assignment List
- ICS Form 204, Assignment List
- ICS Form 205, Incident Radio Communications Plan
- ICS Form 206, Medical Plan
- ICS Form 207, Organizational Chart
- ICS Form 209, Incident Status Summary
- ICS Form 210, Status Change Card
- ICS Form 211, Check-In List
- ICS Form 213, General Message
- ICS Form 214, Unit Log
- ICS Form 215, Operational Planning Worksheet
- ICS Form 215a, Incident Action Plan Safety Analysis
- ICS Form 216, Radio Requirements Worksheet
- ICS Form 217, Radio Frequency Assignment Worksheet
- ICS Form 218, Support Vehicle Inventory
- ICS Form 220, Air Operations Summary
- ICS Form 221, Demobilization Plan
- ICS Form 308, Resource Order Form

ACTIVITY: USING ICS FORM 201

Visual 4.35



The slide contains the following text:

Activity: Using ICS Form 201

Instructions: Working with your team . . .

1. Read the scenario in your Student Manual.
2. Complete the missing elements in the ICS Form 201, Incident Briefing, including:
 - Section 4
 - Section 5
 - Section 6
3. Choose a spokesperson and be prepared to present your work in 30 minutes.

The slide features the FEMA logo on the left and the text 'Visual 4.35 Functional Areas & Positions' on the right.

Instructor Notes: Present the following key points.

Activity Purpose: To give the students practice completing ICS Form 201 using information from a scenario incident.

Time: 60 minutes

Instructions: Working in your team:

1. Read the scenario on the following page of your Student Manual.
2. Complete the missing elements in the ICS Form 201, Incident Briefing, for the Emerald City Floods incident provided in your Student Manual, including:
 - **Section 4 – Sketch:** Identify and locate the incident facilities on the sketch provided.
 - **Section 5 – Current Organization:** Create an organizational chart for this incident.
 - **Section 6 – Resource Summary:**
 - Complete column 1 listing the resources ordered. (Base this list on the anticipated needs and incident objectives.)
 - In column 2, identify the resources by position, training level, or type.
 - In column 3, indicate if the resource is on scene or the time it should arrive.
 - In column 4, indicate the location where the resource is or will be assigned.
3. Choose a spokesperson to present your completed ICS Form 201. Be prepared to present your work in 30 minutes.

(Continued on next page.)

ACTIVITY: USING ICS FORM 201

Visual 4.35 (Continued)

Debrief Instructions:

1. Monitor the time. After 30 minutes, call time.
2. Have each team exchange its completed ICS Form 201 with another team.
3. Tell the teams to review the other team's ICS Form 201 and be prepared to provide each other feedback.
4. Facilitate the exchange process.
5. Summarize the main learning points from this activity.

ACTIVITY: USING ICS FORM 201

Scenario

Status:

See map for projected inundation zone and impacted facilities. Floodwaters are projected to crest by 1800 3/15.

Initial Incident Objectives:

- Provide safety information and gear to personnel before they begin work assignments.
- Establish and publish schedule for press conferences by 1400.
- Evacuate vulnerable populations and areas of the city that may be cut off by floodwaters by 1800 today.
- Develop strategy to protect buildings and infrastructure from floodwaters by 1900 today.

Current Actions:

Command Post established in parking lot at Fire Station in Tactical Mobile Command Vehicle. Divisions A, B, C assigned to alert and warning in projected inundation zone; estimate completion by 1330. American Red Cross contacted to open shelter at Lafayette Middle School by 1400-briefed on evacuees from Lake Emerald Independent Living Center. Nursing Home and Lake Emerald Independent Living notified to implement evacuation plans not later than 1400. Lake Emerald confirms adequate transportation. City Water Authority notified to protect water treatment plant by 1600. Rapid River Nuclear Power Plant staff notified and implementing flood SOP by 1600. PIO has prepared public service announcement, awaiting approval by City Manager; press conference scheduled for 1330 at City Hall. Emerald City EOC in the process of being activated. County EOC in the process of being activated. Emerald City Hospital notified and prepared to receive Nursing Home evacuees by 1600. Resources ordered to support Water Treatment Plant Group and Nursing Home Evacuation Group. Edison Electric, Commonwealth Gas Co., and City Transit notified.

Weather:

Current weather pattern continues through midnight, then partial clearing. Highs in the mid 40s, lows in the high 30s. Chance of precipitation 60% through midnight, reducing to 40% after midnight. Expected precipitation next 24 hours 0.75 inches. Winds from the west 10-15 mph.

Safety Message:

Avoid skin contact with floodwaters. Drive with lights on. Watch for downed power lines in flood vicinity. Wear personal flotation devices when near/around water. Monitor City radio frequency F2 for safety updates.

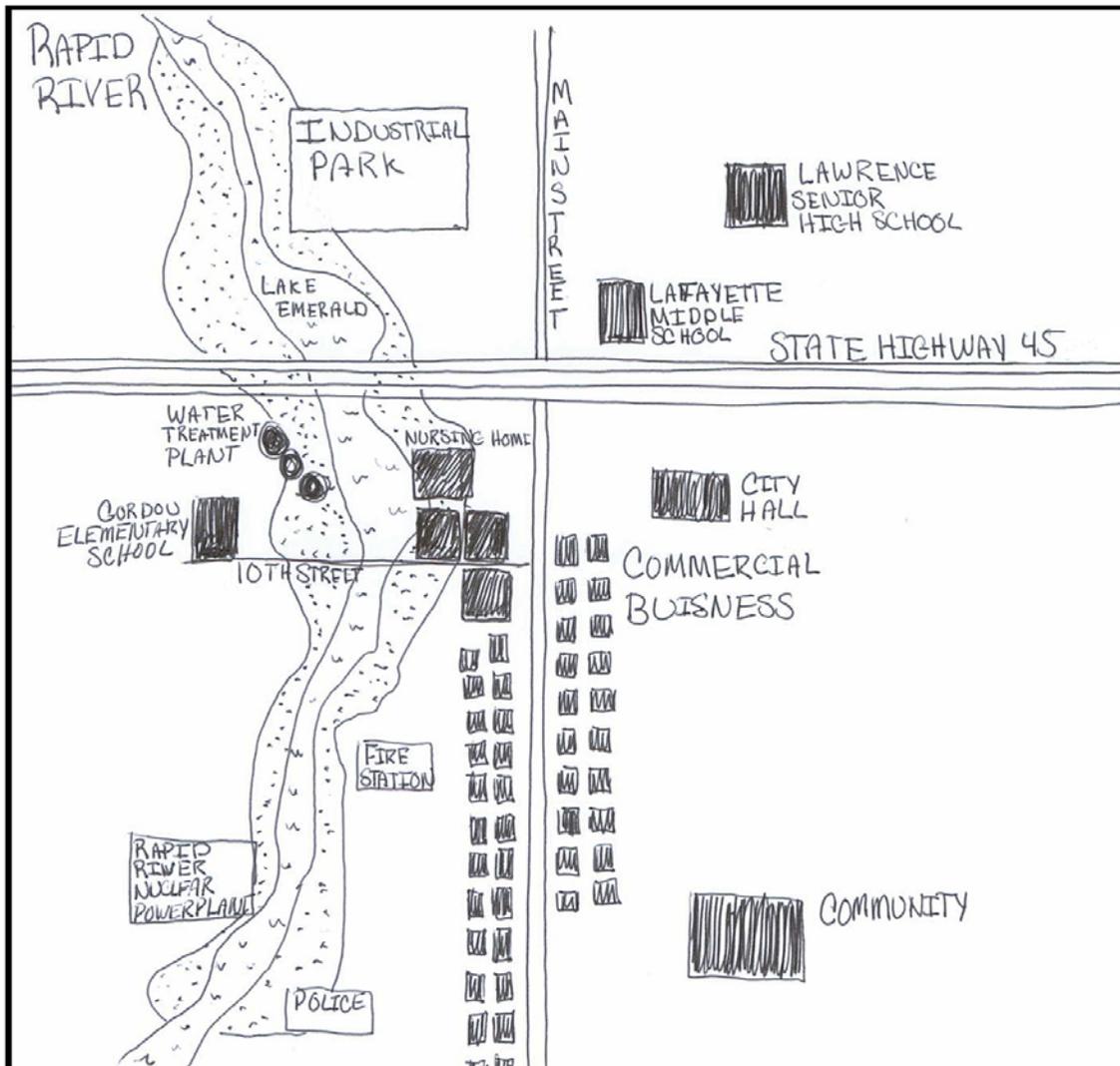
Unit 4. Functional Areas & Positions

ACTIVITY: USING ICS FORM 201

Worksheet: ICS Form 201 (Page 1 of 3)

INCIDENT BRIEFING	1. Incident Name <i>EMERALDCITY FLOOD</i>	2. Date <i>3/15/06</i>	3. Time <i>12:30</i>
	4. Map Sketch		

Page 1 of 3



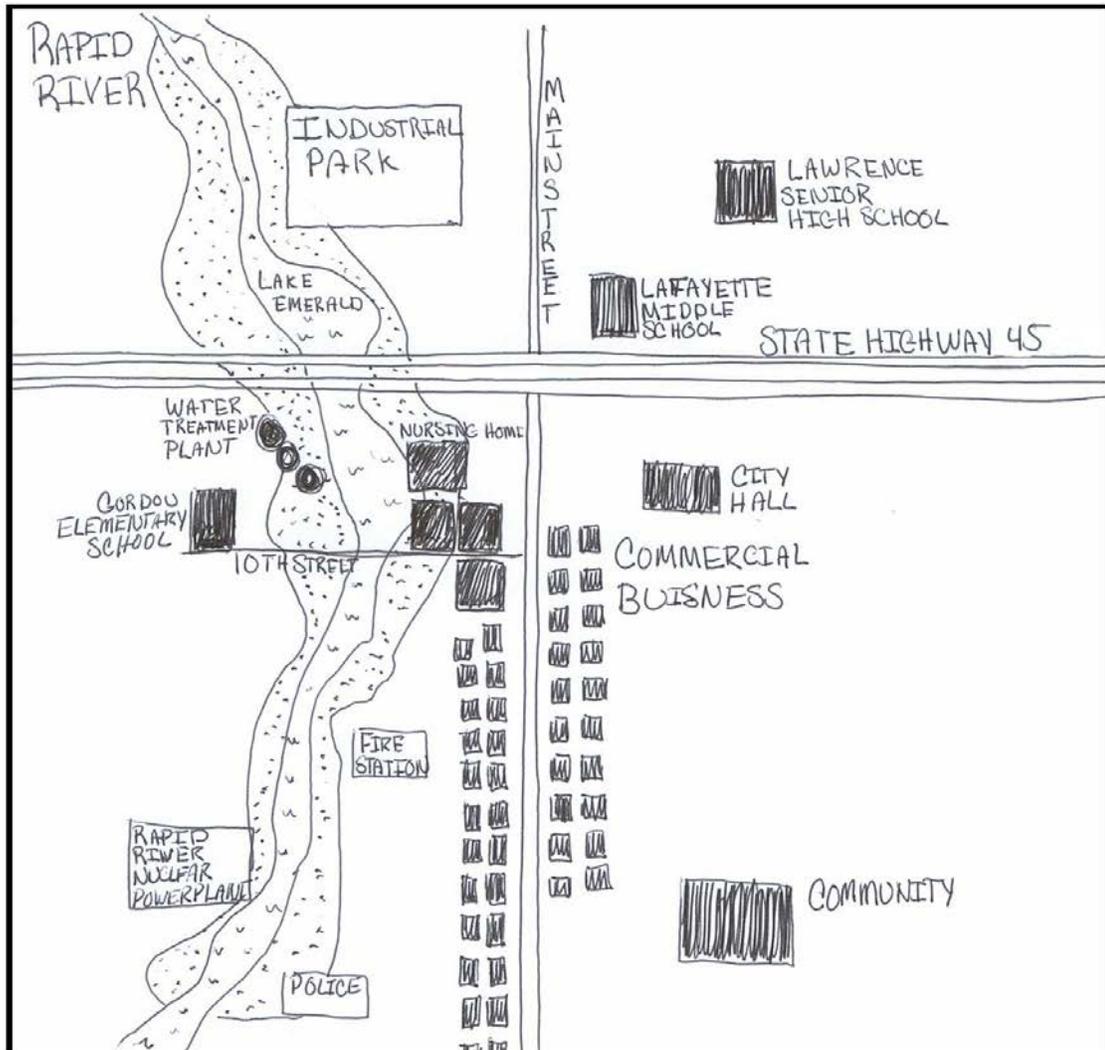
Unit 4. Functional Areas & Positions

ACTIVITY: USING ICS FORM 201

Sample Answers: ICS Form 201 (Page 1 of 3)

INCIDENT BRIEFING	1. Incident Name	2. Date	3. Time
	EMERALD CITY FLOOD	3/15/06	12:30
4. Map Sketch			

Page 1 of 3



Unit 4. Functional Areas & Positions

ACTIVITY: USING ICS FORM 201

Sample Answers: ICS Form 201 (Page 3 of 3)

6. Resources Summary				
Resources Ordered	Resource Identification	ETA	On Scene	Location/Assignment
<i>Incident Commander</i>	<i>Captain Gordon EPD</i>		X	<i>ICP-Fire Station</i>
<i>Operations SC</i>	<i>Sgt. Hanson EPD</i>		X	<i>ICP-Fire Station</i>
<i>PIO</i>	<i>Lt. Martin EPD</i>		X	<i>ICP-Fire Station</i>
<i>Division A Sup</i>	<i>Lt. Fielding EPD</i>		X	<i>Division A-Industrial Park</i>
<i>Division B Sup</i>	<i>Sgt. Potter EPD</i>		X	<i>Division B-Nursing Home/Independent Living</i>
<i>Division C Sup</i>	<i>Sgt. Sanchez EPD</i>		X	<i>Division C-Power Plant</i>
<i>Water Group Sup</i>	<i>Bill White EWA</i>		X	<i>Water Treatment Plant</i>
<i>2 Dump trucks</i>		<i>1330</i>		<i>Water Group</i>
<i>2 Front Loaders</i>		<i>1315</i>		<i>Water Group</i>
<i>1 Backhoe</i>		<i>1300</i>		<i>Water Group</i>
<i>Nursing Home Grp. Supervisor</i>	<i>Captain Wu EFS</i>		X	<i>Nursing Home Group evacuation</i>
<i>3 BLS Ambulances</i>	<i>A2, A4, A7</i>	<i>1300</i>		<i>Nursing Home Group evacuation</i>
<i>Safety Officer</i>	<i>Lt. Dominguez EFD</i>	<i>1245</i>		<i>Nursing Home Group evacuation</i>
<i>Planning SC</i>	<i>Sgt. Baker</i>		X	<i>ICP-Fire Station</i>
7. Summary of Current Actions				
<p>Status: See map for projected inundation zone and impacted facilities. Floodwaters are projected to crest by 1800 3/15.</p> <p>Initial Incident Objectives:</p> <ol style="list-style-type: none"> 1. Ensure safety of responders and public in impact area. 2. Ensure effective public information. 3. Evacuate vulnerable populations and areas of the city that may be cut off by floodwaters. 4. Protect buildings and infrastructure from floodwaters. <p>Current Actions: Command Post established in parking lot at Fire Station in Tactical Mobile Command Vehicle. Divisions A, B, C assigned to alert and warning in projected inundation zone; estimate completion by 1330. American Red Cross contacted to open shelter at Lafayette Middle School by 1400-briefed on evacuees from Lake Emerald Independent Living Center. Nursing Home and Lake Emerald Independent Living notified to implement evacuation plans not later than 1400. Lake Emerald confirms adequate transportation. City Water Authority notified to protect water treatment plant by 1600. Rapid River Nuclear Power Plant staff notified and implementing flood SOP by 1600. PIO has prepared public service announcement, awaiting approval by City Manager; press conference scheduled for 1330 at City Hall. Emerald City EOC in the process of being activated. County EOC in the process of being activated. Emerald City Hospital notified and prepared to receive Nursing Home evacuees by 1600. Resources ordered to support Water Treatment Plant Group and Nursing Home Evacuation Group. Edison Electric, Commonwealth Gas Co., and City Transit notified.</p> <p>Weather: Current weather pattern continues through midnight, then partial clearing. Highs in the mid 40s, lows in the high 30s. Chance of precipitation 60% through midnight, reducing to 40% after midnight. Expected precipitation next 24 hours 0.75 inches. Winds from the west 10-15 mph.</p> <p>Safety Message: Avoid skin contact with floodwaters. Drive with lights on. Watch for downed power lines in flood vicinity. Wear personal flotation devices when near/around water. Monitor City radio frequency F2 for safety updates.</p>				
Page 3 of 3				

SUMMARY

Visual 4.36

Summary

Are you now able to:

- **Describe the functions of organizational positions within the Incident Command System (ICS)?**
- **Identify the ICS tools needed to manage an incident?**
- **Demonstrate the use of an ICS Form 201, Incident Briefing?**

 **FEMA** Visual 4.36
Functional Areas & Positions

Instructor Notes: Present the following key points.

Are you now able to:

- Describe the functions of organizational positions within the Incident Command System (ICS)?
- Identify the ICS tools needed to manage an incident?
- Demonstrate the use of an ICS Form 201, Incident Briefing?

The next unit will cover briefings—the types, components, and presentation of incident briefings.

Your Notes: